

## PUBLIC CROSSING SYSTEM INFORMATION DISCLOSURE – PART 4A3.1

This disclosure of non-public Crossing System Information is made to you by State One Stock Broking Ltd (State One), the holder of an Australian financial services licence No.247100, pursuant to the ASIC Market Competition Rules (Competition in Exchange Markets) Amendment 2013 Part 4A.3.2.

Effective 10<sup>th</sup> February 2014, State One has an obligation to provide the following non-public information to clients. We detail below the relevant non-public information pertaining to use of the State One Crossing System ("System") with regards to orders placed in the ASX and Chi-X markets, as per such obligation.

State One is a Market Participant of ASX Limited ("ASX") and Chi-X Australia Pty Ltd ("Chi-X") and as such has obligations under the:

- Operating Rules of both ASX and Chi-X
- ASIC Market Integrity Rules relating to markets operated by ASX and Chi-X; and
- ASIC Market Integrity Rules (Competition in Exchange Markets) 2013 ("ASIC Market Competition Rules")

Effective 19<sup>th</sup> November 2012 (when State One commenced trading on Chi-X) State One has an obligation under the ASIC Market Competition Rules (Competition in Exchange Markets) Amendment 2013 Part 4A.3.1 to make specific Crossing System information publicly available.

We detail below the relevant public information pertaining to the State One Crossing System as per such obligation.

Item	Type of information	Publicly Available Crossing System Information
1	Operator	State One Stockbroking Ltd
2	Crossing System	SOSL 1
2	Start Date	The crossing engine commenced on the 19th November 2012, when State One Stockbroking Ltd commenced trading on the Chi-X exchange.
3	Tradeable Financial Products	Equity Market Products tradeable on ASX and Chi-X.
4	Description and Access Criteria	The crossing engine is available to all clients unless they have opted out of participating.  SOSL 1 is an internal order book that allows orders (from our clients, our own and those from related bodies corporate) to be submitted, maintained and matched, with all transactions being reported to either ASX or Chi-X.
5	Aggregation and other Crossing Systems	The crossing engine operated by State One Stockbroking Ltd does not receive orders from other crossing systems.

Updated  
 10<sup>th</sup> February 2014